

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hiroyuki YAMAOKA et al.

Serial No. NEW : **Attn: APPLICATION BRANCH**

Filed January 6, 2004 : Attorney Docket No. 2004_0007

SILICON CARBIDE FIBER HAVING BORON
NITRIDE LAYER IN FIBER SURFACE AND
PROCESS FOR THE PRODUCTION THEREOF

(Rule 1.53(b) Continuation-in-Part

of Serial No. 10/252,356,

Filed September 24, 2002)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request
consideration of the references listed on attached form PTO-1449.

A legible copy of each reference listed on the form PTO-1449 and each U.S. patent
application listed below is enclosed, except a copy is not provided for each reference previously
cited by or submitted to the Patent Office in prior parent application Serial No. 10/252,356.

1a. This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office
Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted

- after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
 - (1) the certification of paragraph 2 below is provided, **or**
 - (2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. a full or partial English language translation submitted herewith,
- b. a foreign patent office search report (in the English language) submitted herewith,
- c. the concise explanation contained in the specification of the present application at page ,
- d. the concise explanation set forth in the attached English language abstract,
- e. the concise explanation set forth below or on a separate sheet attached to the reference:

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroyuki YAMAOKA et al.

By Matthew M. Jacob
Matthew M. Jacob
Registration No. 25,154
Attorney for Applicants

MJ/da
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
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FORM PTO 1459 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: January 6, 2004			ATTY DOCKET NO. 2004_0007		SERIAL NO. NEW			
			APPLICANT Hiroyuki YAMAOKA et al.					
			FILING DATE January 6, 2004					
			U.S. PATENT DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	4,642,271	2/1987	Rice				
	AB	5,792,416	8/1998	Sacks et al.				
	AC	6,040,008	3/2000	Sacks				
	AD	6,203,904	3/2001	Sacks				
	AE	2003-0064220	4/2003	Yamaoka et al.				
	AF							
	AG							
	AH							
	AI							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ							
	AK							
	AL							
	AM							
	AN							
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AO	PATENT ABSTRACTS OF JAPAN vol. 1997, no. 06, June 30, 1997 & JP 09 031756A (UBE IND LTD), February 4, 1997.						
	AP							
	AQ							
EXAMINER				DATE CONSIDERED				